

# Introduction to Symmetric-Key Cryptography (Part-1)

ACM India Summer School 2024 (Day 2, Post-Lunch)

Sikhar Patranabis (IBM Research India)

[sikhar.patranabis@ibm.com](mailto:sikhar.patranabis@ibm.com)

<https://research.ibm.com/people/sikhar-patranabis>

IITB Trust Lab

4<sup>th</sup> June 2024

# Scene setting

- **Cryptography: the art of secret writing.**
- Derived from the Greek:
  - kryptos (meaning ``hidden"")
  - grafo (meaning ``write"")
- **Used for centuries** by parties wishing to **communicate securely.**
  - **Historically**, associated with **encryption** (to provide confidentiality).
  - Now a significant area at the intersection between **CS, mathematics, and systems engineering**, and plays a crucial role in **information security.**
  - No longer limited to confidentiality, no longer limited to communications.

A LEGO diorama depicting a prehistoric scene. On the left, a caveman with a beard and a bone hat stands on a dark grey rock, holding a spear. In the center, two brown saber-toothed tigers are shown; one is perched on a rock with its mouth open, showing its fangs, while the other is on the ground below it. To the right, a fire burns on a wooden stick, and a large, light-colored antler is positioned above it. In the foreground on the right, another caveman with a beard and a bone necklace stands holding a spear. The background is a blue sky with white clouds and a green field.

The world we used to live in...





The world  
changed...





The image features a dense, multi-colored network graph. The nodes are represented by bright white star-like shapes with diffraction patterns, scattered across a dark background. The edges are thin, multi-colored lines in shades of blue, green, red, and purple, forming a complex web of connections. The overall appearance is that of a large-scale network or data visualization.

The world we live in now...



# Outline

- Some practical perspectives on cryptography
- Secure communication
- Computational cryptography – one-way functions
- Pseudorandom generators (PRGs) and stream ciphers

# Outline

- Some practical perspectives on cryptography
- Secure communication
- Computational cryptography – one-way functions
- Pseudorandom generators (PRGs) and stream ciphers

# Cryptography – past, present, future

## Art of secure communication



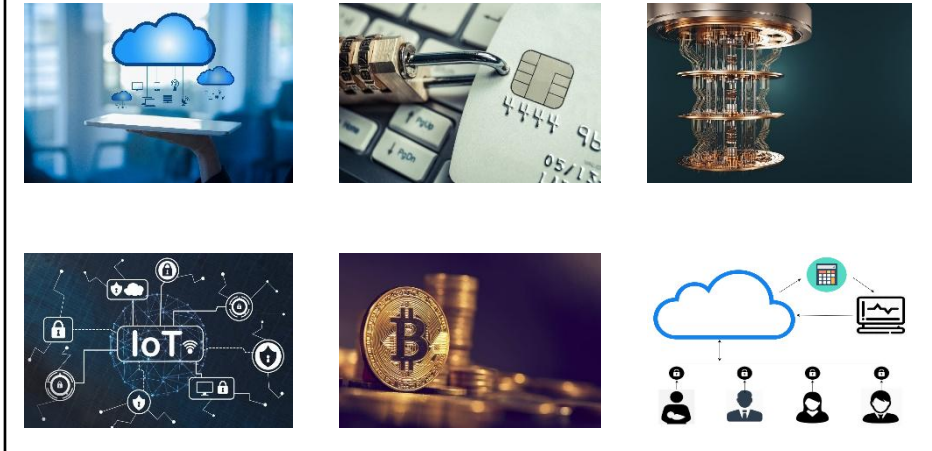
Pre-1970

## New directions and formalizations



1970s and 1980s

## Engineering and technology translation



Post-2000



# Individual perspective

- **Cryptography makes our lives more convenient.**
  - Enables shopping online, communicating remotely with friends and family, interacting with government services online, working from home, etc.
- **Enables established human rights** such as privacy and freedom of expression.
  - In a free society, individuals should probably have the right to use cryptography in any way they see fit.
  - Indeed, a stated commitment to this freedom is one indicator of a truly free society.

# Business perspective

- **Cryptography enables new forms of business.**
  - Allows provisioning of security services.
  - Ensures regulatory compliance for existing forms of business.
- **Cryptography may also bring new costs to a business.**
  - Moving business online may introduce new threats... which cryptography can only partially address, or which it does not address at all.
- **Cryptography will only be deployed if it makes business sense.**
  - Cost-effective, appropriate, compliant with regulations.



# Government perspective

## Conflicting requirements with respect to cryptography

- **Cryptography as an enabler**
  - Promotes a competitive and attractive business environment.
  - Enables streamlining operations by moving services on-line.
- **Cryptography as a detractor**
  - Control crime and manage issues of national security.
  - Limit the use of cryptography -- imposition of laws and regulations, promotion of weak cryptographic standards, or by other means.

# Government perspective (example)

*Our vision is for the UK in 2015 to derive huge economic and social value from a vibrant, resilient and secure cyberspace, where our actions, guided by our core values of liberty, fairness, transparency and the rule of law, enhance prosperity, national security and a strong society.*

**UK government cyber strategy, Nov 2011**

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/60961/uk-cyber-security-strategy-final.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/60961/uk-cyber-security-strategy-final.pdf)

*Our vision for 2021 is that the UK is secure and resilient to cyber threats, prosperous and confident in the digital world.*

**UK government cyber strategy, 2016**

[https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/567242/national\\_cyber\\_security\\_strategy\\_2016.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/567242/national_cyber_security_strategy_2016.pdf)



# The Indian context

THE PULSE | SECURITY | SOUTH ASIA

## Securing India's Digital Future: Cybersecurity Urgency and Opportunities

Despite the looming specter of cyber attacks in India, there exists untapped potential and opportunities that the nation can harness to bolster cybersecurity.

Home > News > Opinion



साइबर स्वच्छता केन्द्र  
 CYBER SWACHHTA KENDRA  
 Botnet Cleaning and Malware Analysis Centre



Ministry of Electronics and  
 Information Technology  
 Government of India

## Digital India Act: Here's how it should fix India's cybersecurity weaknesses

*Amid regular reports of government and large private cyberattacks and data breaches, the DIA must be safeguarding privacy, data protection and cybersecurity frameworks between institutions, voluntary reporting and a large cadre of cybersecurity professionals*

### Why is Cybersecurity Important in Digital India?

India's rapid digitalization makes robust cybersecurity even more critical. Here's why:

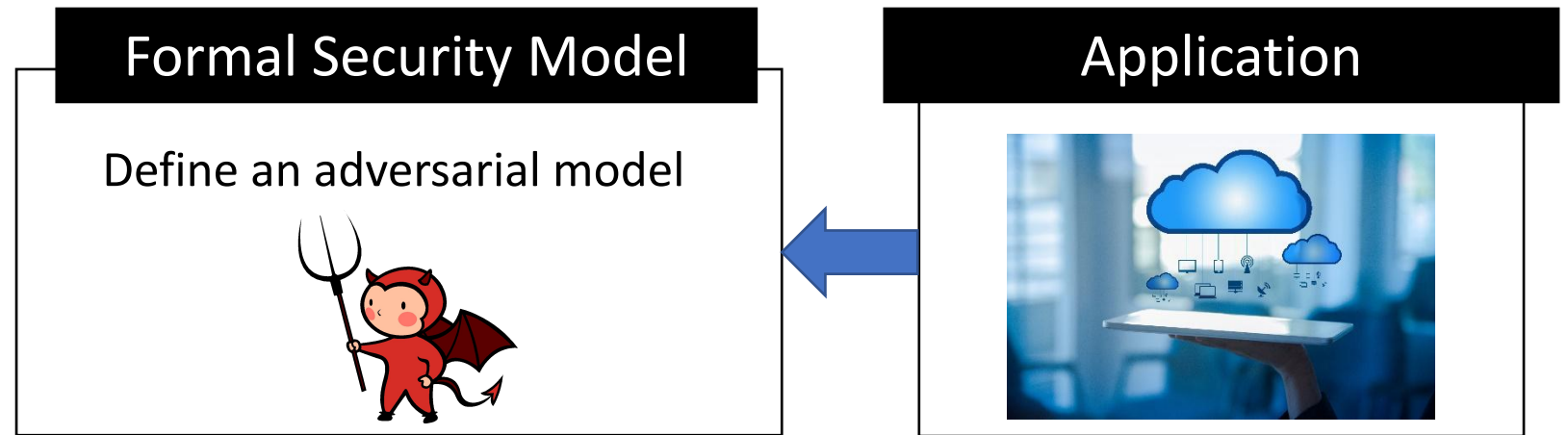
- **Growing Reliance on Digital Infrastructure:** As online services become the norm, robust cybersecurity measures are essential to protect sensitive data like financial records and government information.
- **Increasing Internet Users:** With a rapidly growing internet user base, India presents a larger target for cybercriminals.
- **Evolving Threats:** Cyber threats are constantly evolving, so staying informed and adapting your defenses is crucial.

# The importance of security infrastructure

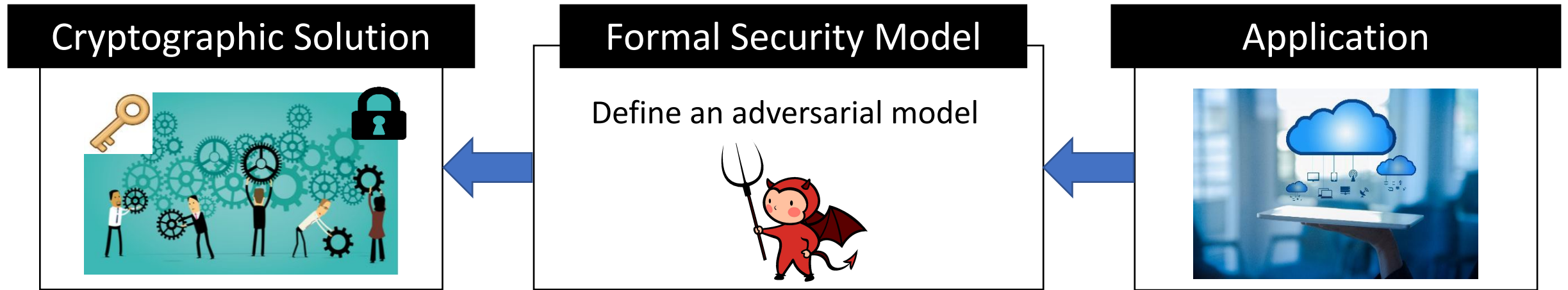
- **Security infrastructure** *must* support deployment of cryptographic solutions.
  - **Infrastructure**: procedures, plans, policies, and management to ensure any deployment serves its intended purpose.
- **Cryptography on its own is not a magic bullet.**
  - Cryptography  $\not\subseteq$  cryptographic algorithms.
- This is a principle worth keeping in mind throughout the course.



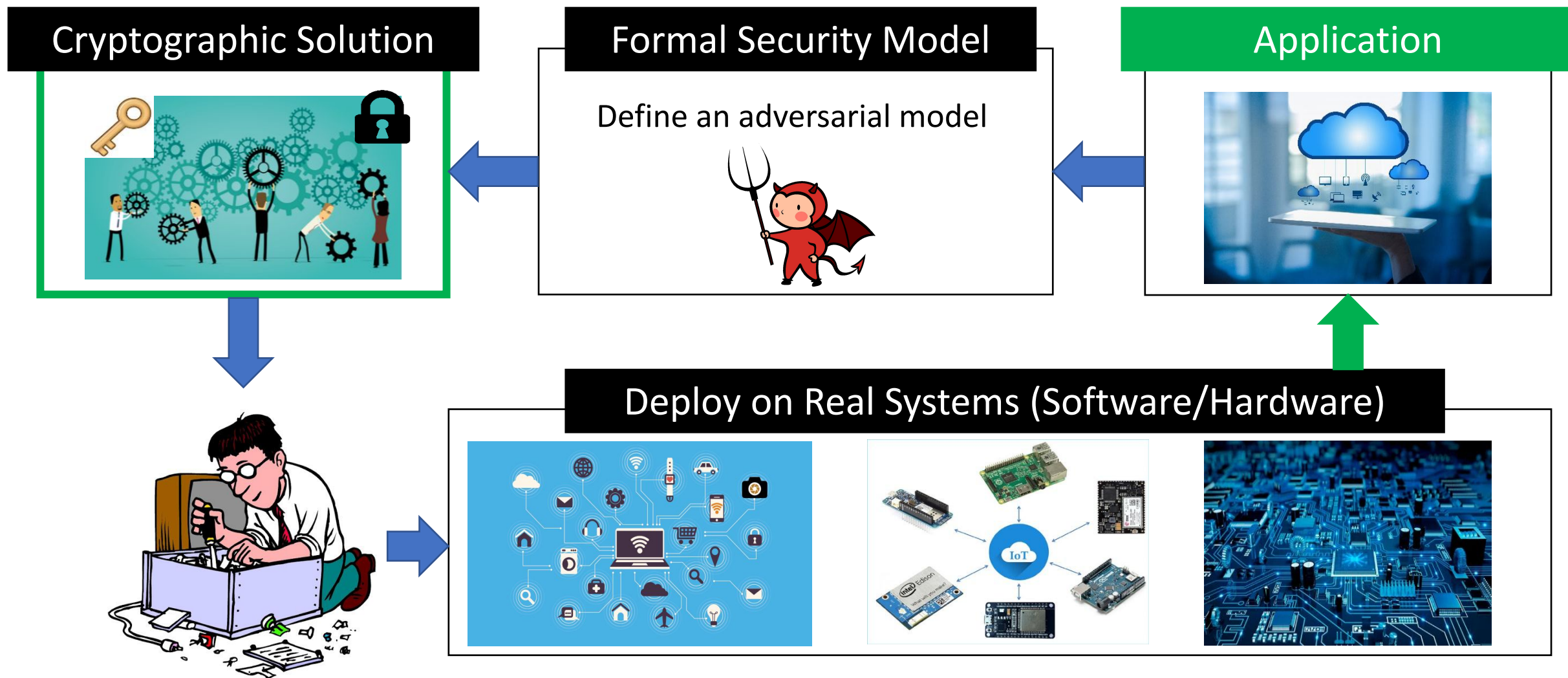
# Modern approach to cryptography



# Modern approach to cryptography



# Cryptographic algorithms vs cryptographic implementations





# Cryptographic algorithms vs cryptographic implementations

## Implementation-Level Threats and Attacks



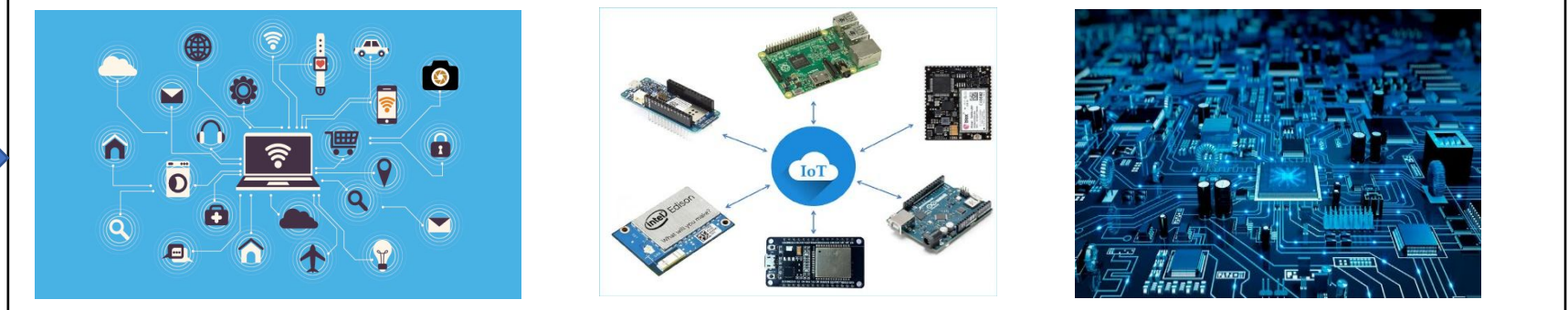
## Formal Security Model

Define an adversarial model



Often **does not** capture implementation-level attacks

## Deploy on Real Systems (Software/Hardware)



# Cryptographic algorithms vs cryptographic implementations

## Implementation-Level Threats and Attacks



## Formal Security Model

Define an adversarial model



Target insecure implementations of provably secure cryptoprimitives



 <b>MELTDOWN</b>	 <b>SPECTRE</b>	 <b>FORESHADOW</b>	 <b>THE HEARTBLEED BUG</b>	 <b>PLUNDER VOLT</b>
 <b>RowHammer</b> Attacking DDR4 DRAM chips	 <b>Raccoon Attack</b>	 <b>ZOMBIELOAD ATTACK</b>		

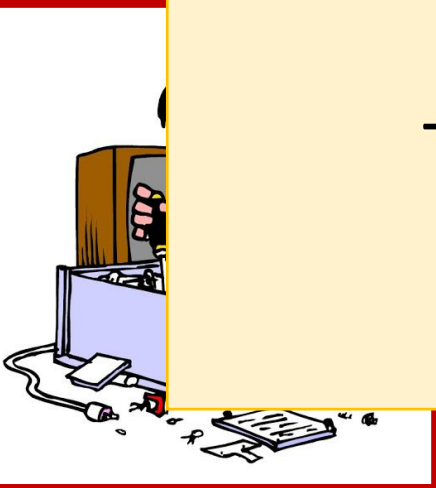
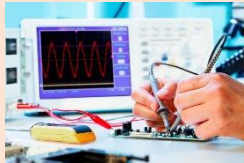
# Cryptographic algorithms vs cryptographic implementations

Implementation-Level  
Threats and Attacks

Formal Security Model

“Cryptographers rarely sleep well”

- Silvio Micali (A.M. Turing Award Laureate, 2013)

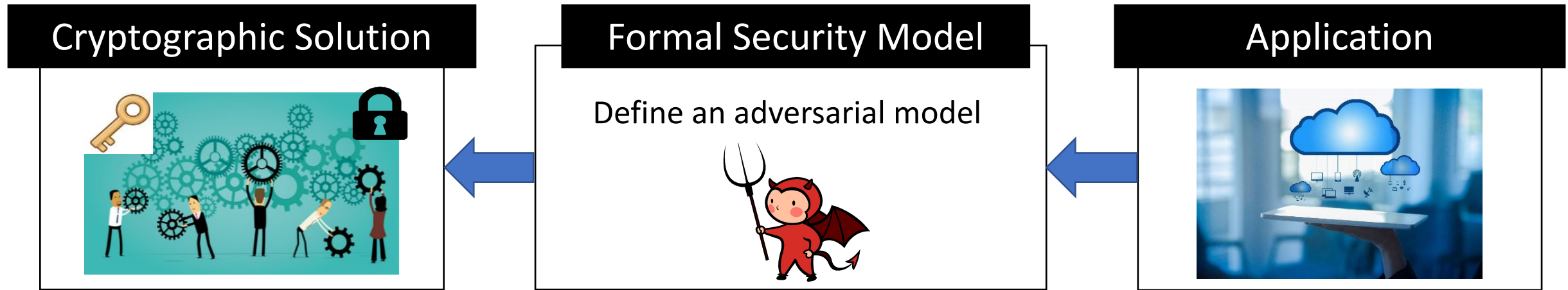


ons  
ives

DER  
T

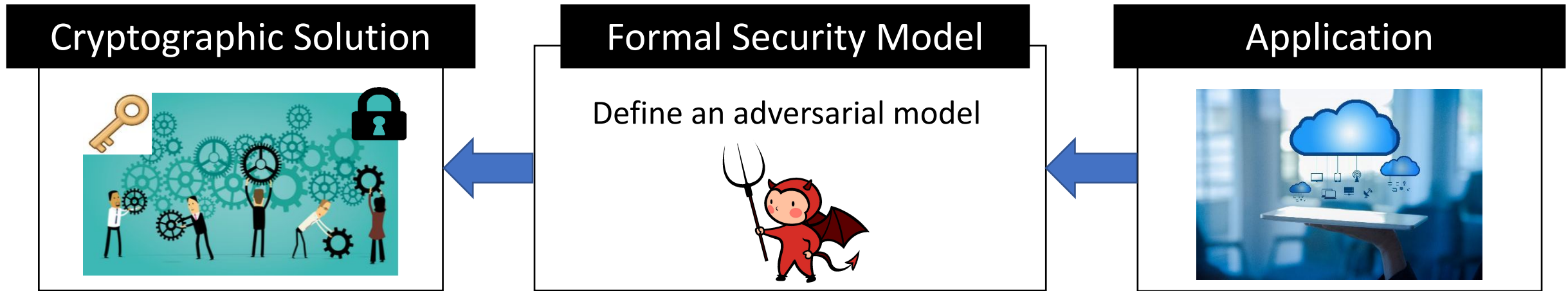


# Modern approach to cryptography



For this series of lectures, suffices to restrict to cryptographic algorithms

# Modern approach to cryptography



For this series of lectures, suffices to restrict to cryptographic algorithms

Application for next few lectures: **secure communication**

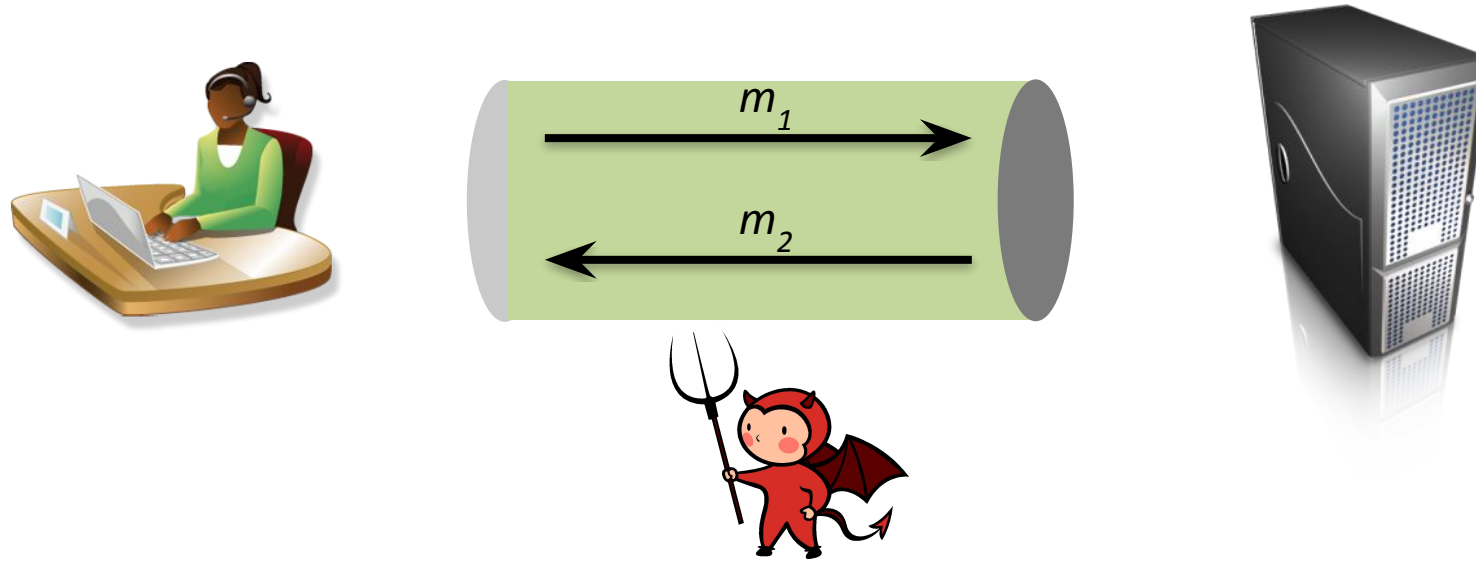
# Outline

- Some practical perspectives on cryptography
- **Secure communication**
- Computational cryptography – one-way functions
- Pseudorandom generators (PRGs) and stream ciphers



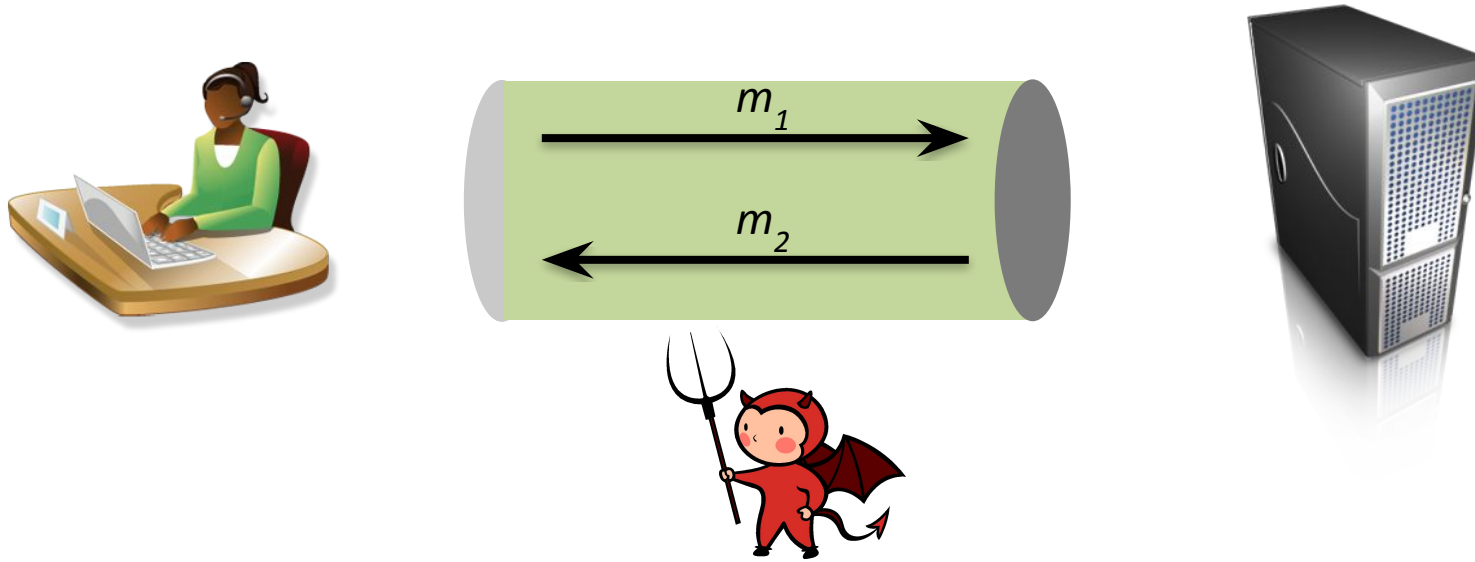
Crypto ground-zero: secure communication

# Secure communication



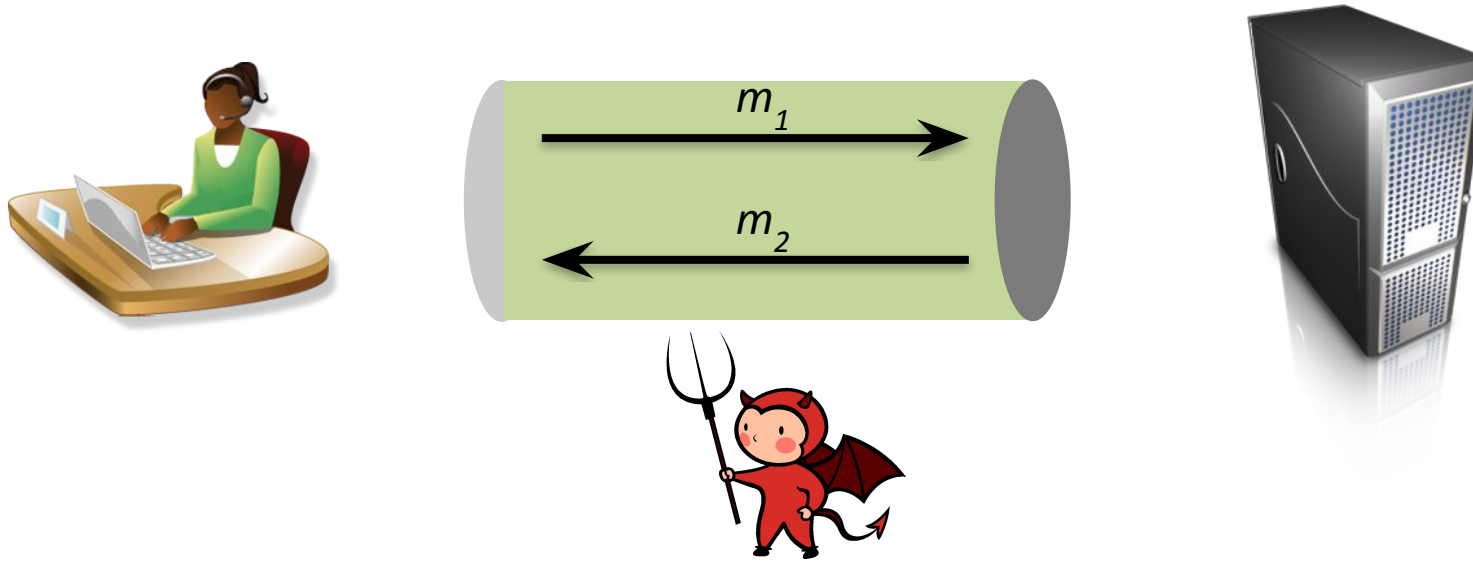
- Two entities exchange messages over an **insecure channel**.
  - Entities are often called **Alice and Bob** (but they need not be people).
- The insecure channel will be provided by a communications network.
  - Examples: wireless LAN, mobile phone network, “the Internet”, or a combination of these.
- **Use cryptography to build a secure channel on top of the insecure channel.**

# Secure communication



- What should our security goals be?
- What capabilities does **the adversary** (a.k.a. **the attacker**) have?
- How can we use cryptography to achieve our goals in the face of this adversary?

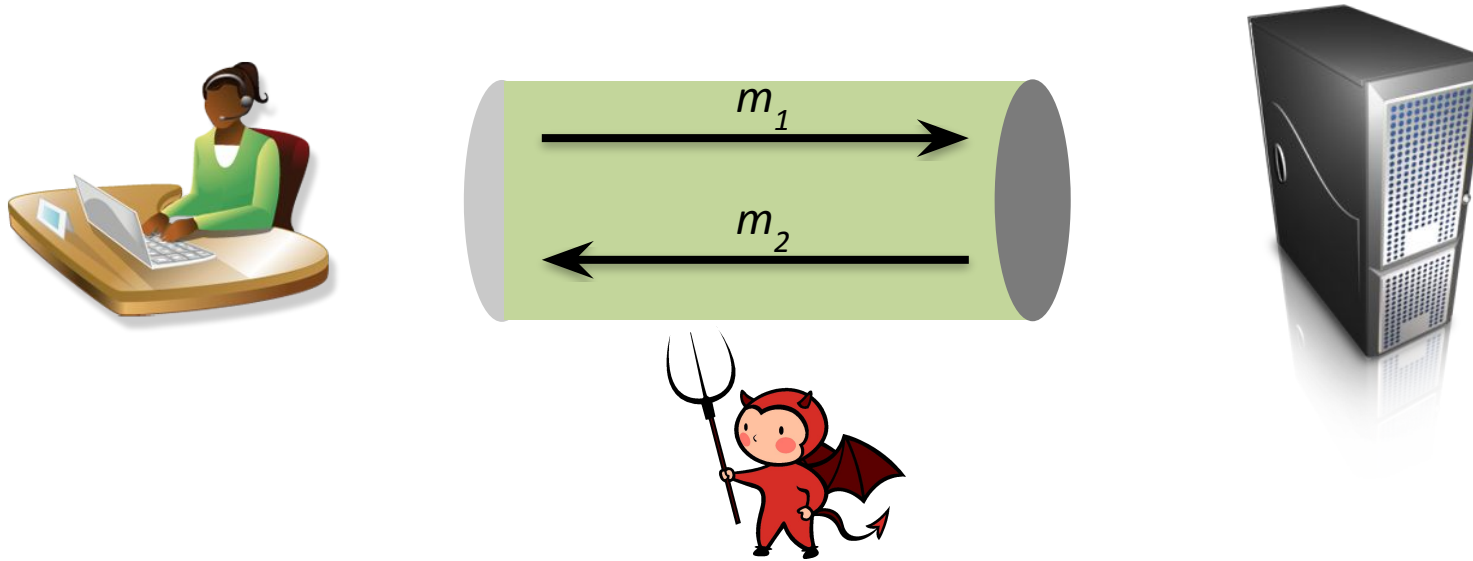
# Secure communication: (informal) security goals



- **Exchanged messages should remain confidential.**
- Alice and Bob can check the **origin** of the messages (hard for the adversary to inject messages if its own).
- Alice and Bob can detect:
  - when messages are deleted.
  - when messages are reordered (possibly by the adversary, possibly by the network).

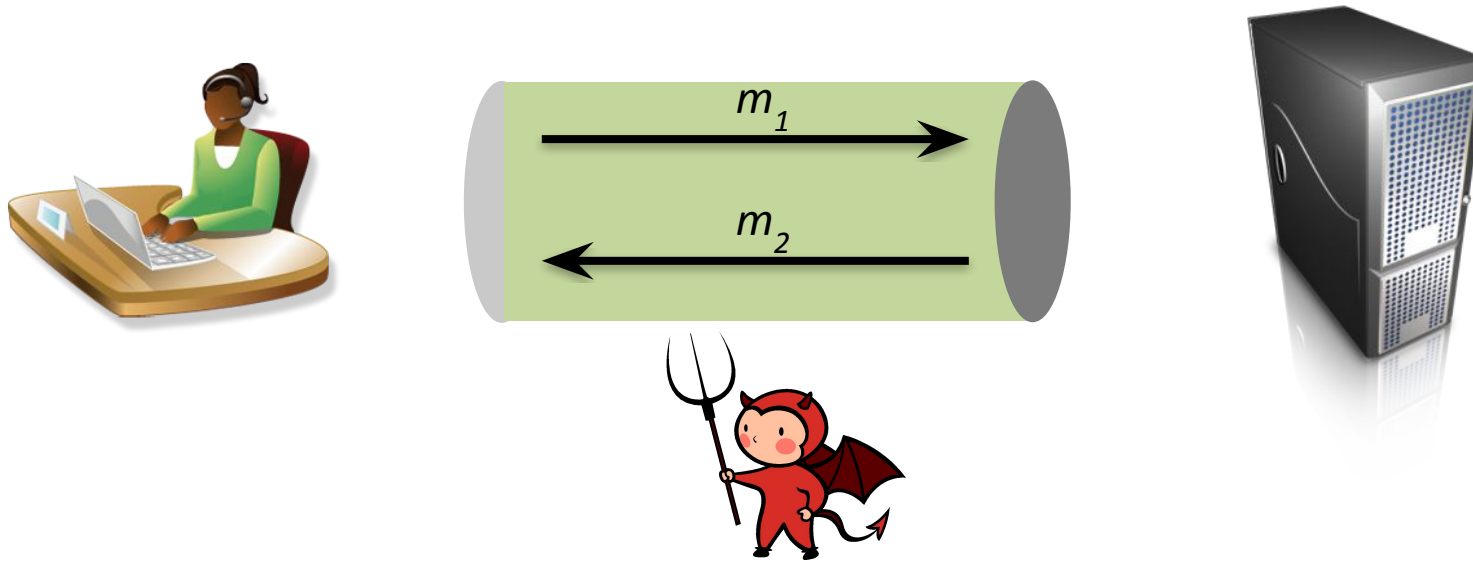


# Secure communication: adversarial capabilities



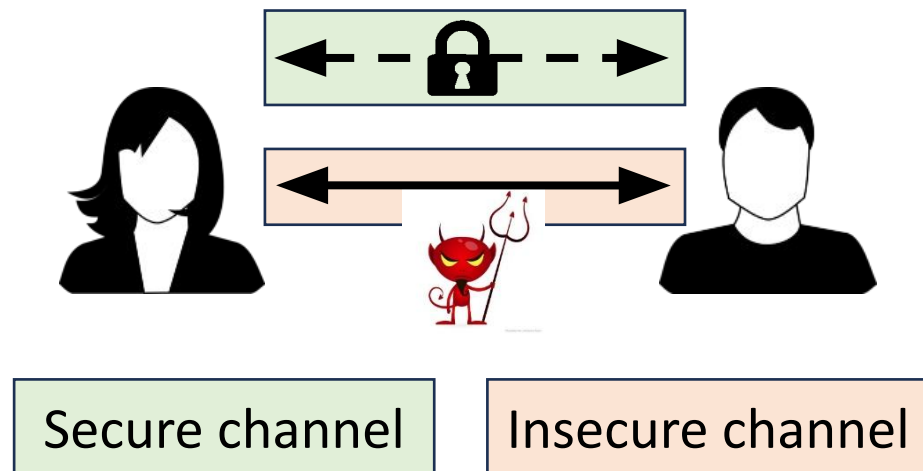
- **Passive adversary:**
  - Can only observe **all** of the data being transferred on the network.
- **Active adversary:**
  - Has sufficient control over the network to delete, delay, modify, and reorder network packets at will.
  - Can inject entirely new network packets (active adversary).
- **To what extent are these capabilities realistic?**

# Secure communication: adversarial capabilities



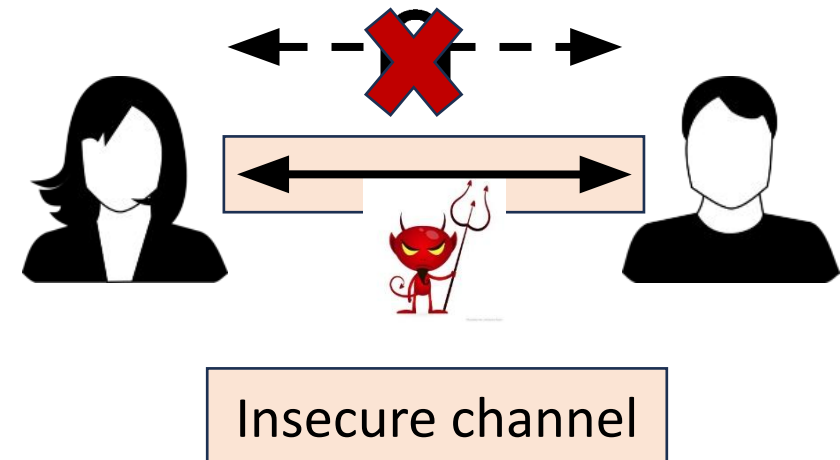
- **In cryptography, we assume even more powerful adversaries**
  - Can ask for **chosen messages** to be passed over the network (**chosen plaintext attack**).
  - Can observe effects of injecting **chosen network packets** (**chosen ciphertext attack**).
    - For example, **error messages** exchanged between the entities in response to injected packets may leak useful information.

# Secure communication: channel assumptions



**Symmetric-Key Cryptography**

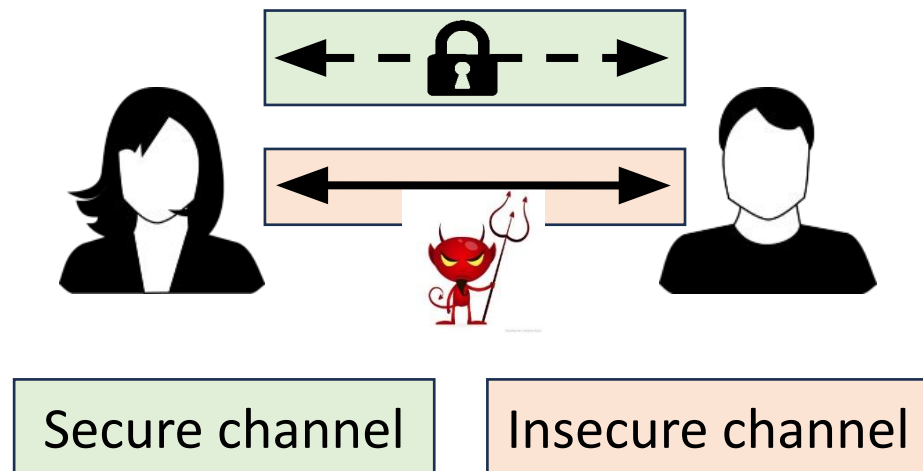
Assume a “costly” secure channel



**Public-Key Cryptography**

**Do not** assume a secure channel

# Secure communication: coverage plan



## Symmetric-Key Cryptography

Assume a “costly” secure channel

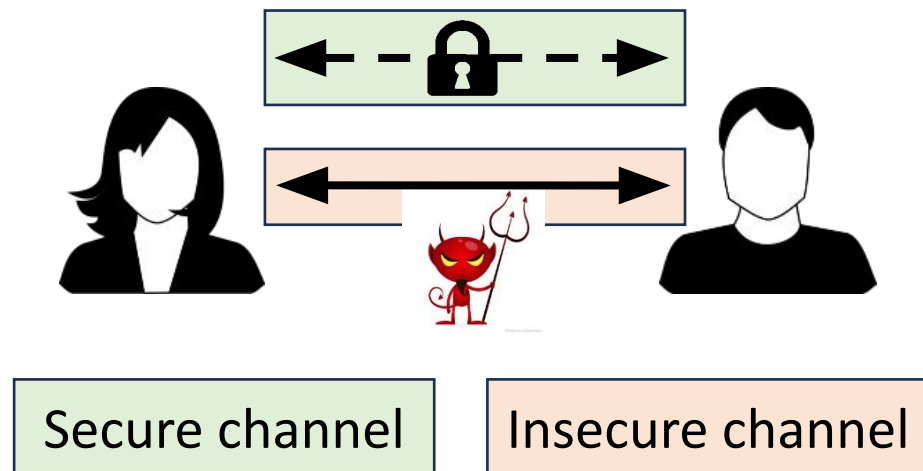
**For the next three sessions (rest of today and all of tomorrow):**

- Assume a “costly” secure channel (can only be used to exchange “short” messages, albeit infrequently).
- Given this secure channel, design a secure channel for exchanging “arbitrarily long” messages very frequently.

**Day-after tomorrow onwards:** learn how to realize this costly secure channel



# Secure communication: one-time pad



**Symmetric-Key Cryptography**

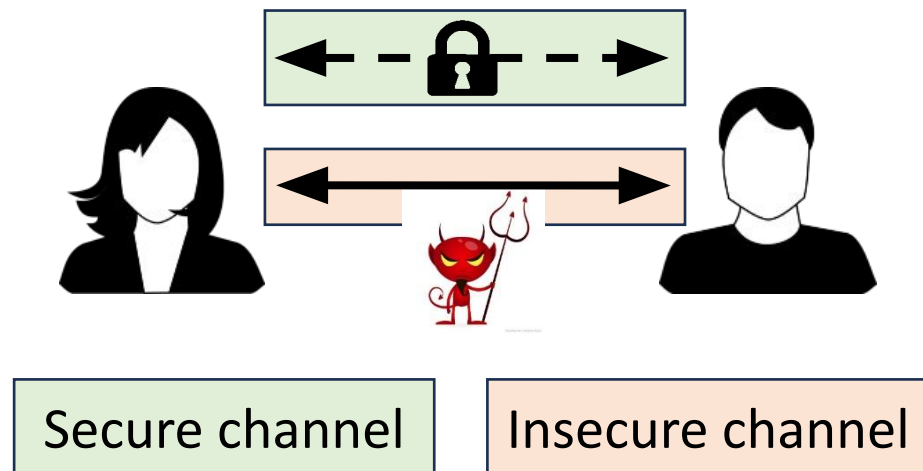
Assume a “costly” secure channel

**One-time pad: perfectly secure, but...**

- Need a secure channel to communicate the key (one-time pad)  $K$
- $K$  needs to be as long as the message
- $K$  needs to be refreshed for each message to be communicated

**Too costly to be practical**

# Secure communication: efficient one-time pad?



**Symmetric-Key Cryptography**

Assume a “costly” secure channel

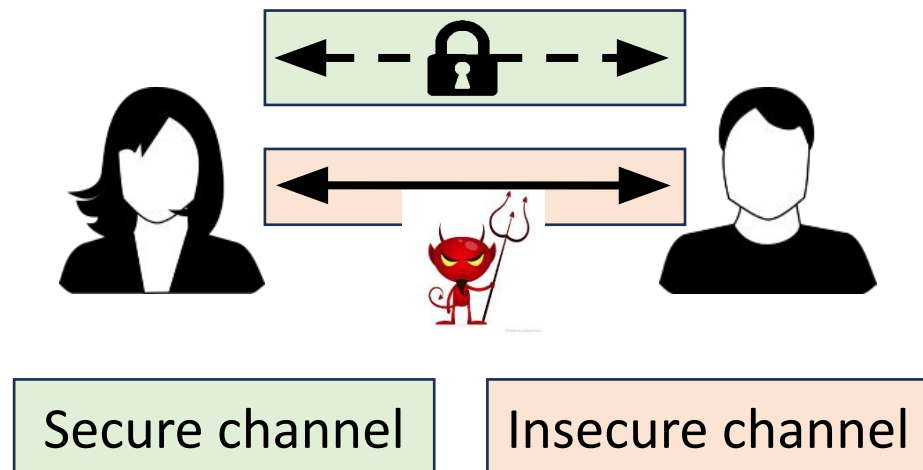
## Practically efficient one-time pad

- A “short” key  $K$  is transmitted over the secure channel such that:
  - $|K|$  is independent of message length.
  - $K$  can be used to derive **arbitrarily many random bits**.
  - These random bits can then be used as **effective** one-time pads.

**Too good to be true? Yes, for perfect security**

**Shannon [1949]**

# Secure communication: efficient one-time pad?



## Symmetric-Key Cryptography

Assume a “costly” secure channel

### Practically efficient one-time pad

- A “short” key  $K$  is transmitted over the secure channel such that:
  - $|K|$  is independent of message length.
  - $K$  can be used to derive **arbitrarily many random bits**.
  - These random bits can then be used as **effective** one-time pads.

But what if the adversary is **not all-powerful**,  
but **computationally bounded**?

# Outline

- Some practical perspectives on cryptography
- Secure communication
- **Computational cryptography – one-way functions**
- Pseudorandom generators (PRGs) and stream ciphers



# Computationally secure cryptography

# Computational security

- Certain cryptosystems may not be perfectly/unconditionally/statistically secure against unbounded adversaries, but may still be “hard” to break in practice.
- **Computational security:** not unconditional, but holds against computationally bounded (equivalently, efficient) adversaries.
- Note that a (computationally bounded) adversary can always break a cryptosystem with some “tiny” probability (e.g., by guessing a key), so any meaningful notion of computational security is probabilistic.

# Computational security: concrete formulation

## Concrete formulation

- **$(t, \epsilon)$ -security:** a cryptosystem is said to satisfy  $(t, \epsilon)$ -security if **any** adversary **running in time  $t$**  fails to break it, **except with probability  $\epsilon$**
- Concrete formulation of computational security is mainstream in certain areas of cryptography, such as symmetric-key cryptography.
- Does not generalize very well (dependent on model of computation, tends to be cumbersome for theoretical analyses).
- Popular alternative: asymptotic formulation of computational security (next slide).

# Computational security: asymptotic formulation

## Asymptotic formulation (parameterized by security parameter $\lambda$ )

A cryptosystem satisfies computational security w.r.t. a **security parameter**  $\lambda \in \mathbb{N}$  if **any probabilistic polynomial-time (PPT) adversary fails to break it, except with probability  $\text{negl}(\lambda)$ .**

## Glossary

- $\text{poly}(n)$ : a function  $f(n) = \text{poly}(n)$  if  $f(n) = n^{O(1)}$
- $\text{negl}(n)$ : a function  $f(n) = \text{negl}(n)$  if  $f(n) = 1/n^{\omega(1)}$
- PPT: a randomized Turing machine with worst-case running time  $\text{poly}(\lambda)$



# One-way function (OWF)

## Definition (informal)

- An **OWF** is an efficient, deterministic function that is **efficiently computable** but computationally **hard to invert**.

## Definition (semi-formal)

- An OWF is a function  $f: \{0,1\}^n \rightarrow \{0,1\}^m$  s.t. (for some security parameter  $\lambda$ ):
  - There exists a PPT algorithm to compute  $f(x)$  for any  $x \in \{0,1\}^n$
  - Given  $f(x)$  for  $x \leftarrow_{\$} \{0,1\}^n$ , no PPT algorithm can compute  $x'$  s.t.  $f(x') = f(x)$  except with probability  $\text{negl}(\lambda)$ .

# One-way function (OWF)

## Definition (informal)

- An **OWF** is an efficient, deterministic function that is **efficiently computable** but computationally **hard to invert**.

## Definition (formal)

- An OWF is a function  $f: \{0,1\}^n \rightarrow \{0,1\}^m$  s.t. (for some security parameter  $\lambda$ ):
  - There exists a PPT algorithm to compute  $f(x)$  for any  $x \in \{0,1\}^n$
  - For any  $x \leftarrow_{\$} \{0,1\}^n$  and any PPT algorithm  $A$ ,
$$\Pr \left[ A \left( 1^\lambda, 1^n, 1^m, f(x) \right) = x' : f(x) = f(x') \right] \leq \text{negl}(\lambda)$$

# One-way function (OWF): Examples

## Factorization

- A candidate **OWF** based on the hardness of integer factorization:

$$f: \{0,1\}^\lambda \times \{0,1\}^\lambda \rightarrow \{0,1\}^{2\lambda}, \text{ defined as } f(p, q) = pq$$

- Computationally efficient to multiply two  $\lambda$ -bit numbers.
- **Assumption:** No PPT algorithm can factorize  $N = pq$  when  $p$  and  $q$  are uniformly random  $\lambda$ -bit primes.
- Certain (implicit) assumptions about sampling primes and primality testing.

# OWF: Complexity-theoretic perspective [Imp95]

- **Algorithmica:**  $P = NP$  (or something “morally equivalent ” such as  $NP \subseteq BPP$ )
- **Heuristica:** NP problems are hard in the worst case but easy on average.
- **Pessiland:** NP problems are hard on average but no one-way functions
- **Minicrypt:** One-way functions exist
- **Cryptomania:** Public-key cryptography exists

# One-way permutation (OWP)

- An OWP is a function  $f: \{0,1\}^n \rightarrow \{0,1\}^n$  s.t.
  - The function  $f$  is a bijection from  $\{0,1\}^n$  to  $\{0,1\}^n$ .
  - The function  $f$  is an OWF.
- Examples: from number-theoretic assumptions (discrete log, RSA)
  - To be covered in future lectures.

# Computational Indistinguishability

- Let  $X = \{X_\lambda\}$  and  $Y = \{Y_\lambda\}$  be two distribution ensembles over  $\{0,1\}^{\ell(\lambda)}$  for  $\ell(\lambda) = \text{poly}(\lambda)$ .
- We say that  $X$  and  $Y$  are computationally indistinguishable if for any PPT distinguisher  $D$  we have  $|\Pr[D(1^\lambda, X_\lambda) = 1] - \Pr[D(1^\lambda, Y_\lambda) = 1]| \leq \text{negl}(\lambda)$ .
- This is sometimes summarized using the shorthand  $X \approx_c Y$  (or simply,  $X \approx Y$ ).
- **Reduction:** If  $X = \{X_\lambda\}$  and  $Y = \{Y_\lambda\}$  s.t.  $X \approx_c Y$ , then  $f(X) \approx_c f(Y)$  for any PPT function  $f$ .
- **Hybrid argument:** If  $X = \{X_\lambda\}$ ,  $Y = \{Y_\lambda\}$  and  $Z = \{Z_\lambda\}$  s.t.  $X \approx_c Y$  and  $Y \approx_c Z$ , then  $X \approx_c Z$ .



# Outline

- Some practical perspectives on cryptography
- Secure communication
- Computational cryptography – one-way functions
- Pseudorandom generators (PRGs) and stream ciphers
- PRPs, PRFs, and block ciphers

# Pseudorandom Generators (PRGs)

# Efficient one-time pad

We will efficiently attempt to efficiently replicate the properties of the one-time pad:

- **One-time pad:** Key  $K = K_0, K_1, K_2, \dots$  : a sequence of **random** bits
- **Our goal:** Keystream  $K = K_0, K_1, K_2, \dots$  : a sequence of **pseudorandom** bits

## Pseudorandom bits:

- Indistinguishable from random bits to a **computationally bounded adversary**.
- Generated cryptographically using a **Pseudorandom Generator (PRG)**.

# Pseudorandom Generator (PRG)

## Definition (informal)

- A **PRG** is an efficient, deterministic algorithm which takes as input a short “seed” and outputs a **pseudorandom string**.
- The output is usually longer than the input, and the added length is called the “stretch” of the generator.

## Formal syntax

- A PRG is a function  $G: \{0,1\}^\ell \rightarrow \{0,1\}^L$
- $\{0,1\}^\ell$  is called the **seed space**;  $(L - \ell)$  is called the **stretch**.

# Security of PRG

$$\text{PRG } G : \{0,1\}^\ell \rightarrow \{0,1\}^L$$

Setup:

$$b \leftarrow \$_\{0,1\}$$

Distinguisher  $D$



$r$

$b = 0$ :

$$s \leftarrow \$_\{0,1\}^\ell$$

$$r = G(s)$$

$b = 1$ :

$$r \leftarrow \$_\{0,1\}^L$$

$$\text{Adv}_G^{\text{PRG}}(D) := \left| \Pr[b' = b] - \frac{1}{2} \right|.$$

# Security of PRG

## Definition (informal)

A PRG  $G$  is said to be **secure** if, for **all efficient** distinguishers  $D$ , the advantage

$$\text{Adv}_G^{\text{PRG}}(D) = \left| \Pr[b' = b] - \frac{1}{2} \right|$$

is small.

- The power of the definition comes from the quantification over **all**  $D$ : this includes, e.g. all statistical tests, always outputting  $b' = 0, \dots$
- But still vague about what we mean by **efficient** and what we mean by **small**



# Security of PRG

## Definition (concrete)

A PRG  $G$  is said to be  **$(t, \epsilon)$ -secure** if, for **all** distinguishers  $D$  **running in time at most  $t$**

$$\text{Adv}_G^{\text{PRG}}(D) := \left| \Pr[b' = b] - \frac{1}{2} \right| \leq \epsilon$$

# Security of PRG

## Definition (asymptotic)

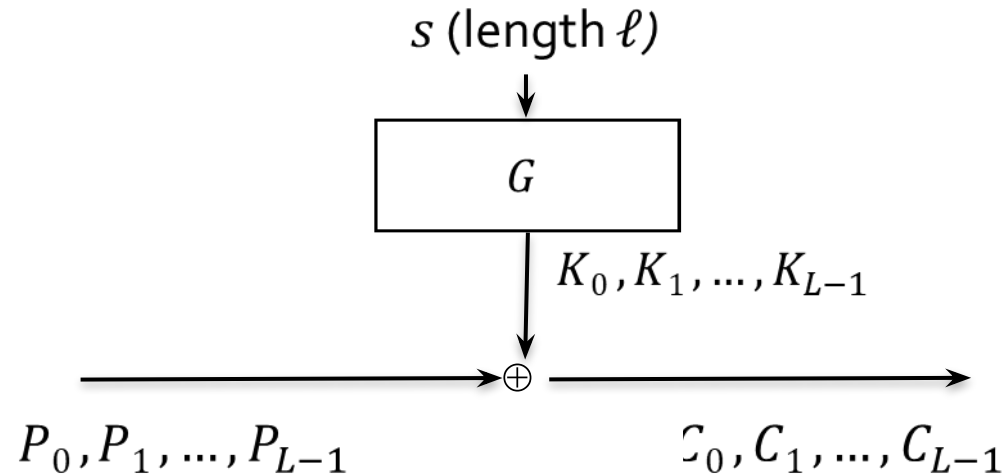
A PRG  $G$  is said to be **secure** if, for all security parameters  $\lambda \in \mathbb{N}$  and **all** PPT distinguishers  $D$

$$\text{Adv}_G^{\text{PRG}}(D) := \left| \Pr[b' = b] - \frac{1}{2} \right| \leq \text{negl}(\lambda)$$

# Some results about PRGs (some proofs on the board)

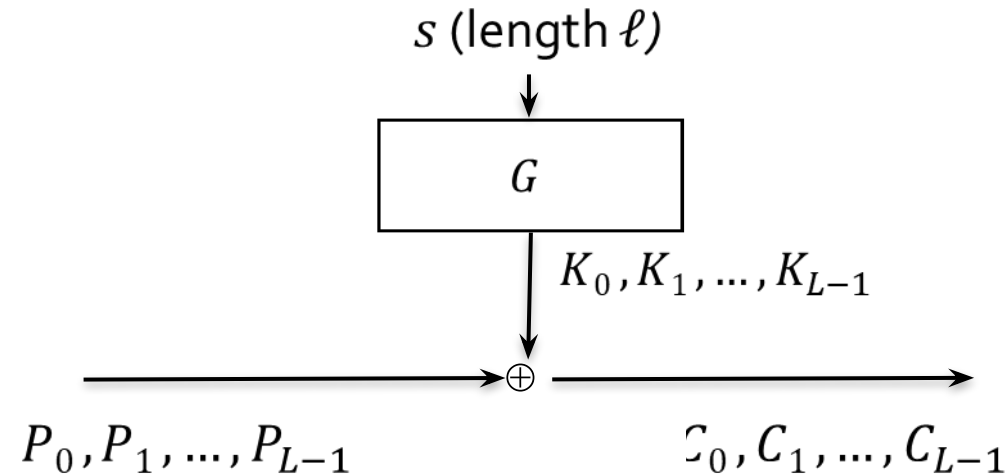
- Given a PRG with 1-bit stretch, there exists a PRG with  $\ell$ -bit stretch for  $\ell = O(1)$
- Given a PRG with 1-bit stretch, there exists a PRG with  $\ell(\lambda)$ -bit stretch for  $\ell(\lambda) = \text{poly}(\lambda)$
- Given any OWP, there exists a PRG with 1-bit stretch [BluMic82,Yao82]
- Given any OWF, there exists a PRG with 1-bit stretch [HILL99]

# Using a PRG to realize efficient one-time pad



- Pros:
  - Cost-effective usage of a secure channel.
  - Instead of transmitting a long key (bit-length  $L$ ) over the secure channel, only transmit a short seed (bit-length  $\ell$ ).
- **But** security is no longer perfect/unconditional, but only computational.

# Using a PRG to realize efficient one-time pad



Board exercise: How to argue security of the efficient one-time pad?

PRGs in use: KeyStream Generators (KSGs)



# Keystream Generators

## Keystream Generators

A **keystream generator (KSG)** is an efficient, deterministic algorithm that:

- takes as input a seed  $s$  and an initialization vector  $IV$ , and
- outputs a **stream of key bits**  $K = K_0, K_1, \dots, K_L$

## Formal syntax

- A KSG is a function  $H: \{0,1\}^\ell \times \{0,1\}^v \rightarrow \{0,1\}^L$
- $\{0,1\}^\ell$  is called the **seed space**;  $\{0,1\}^v$  is called the **initialization vector space**

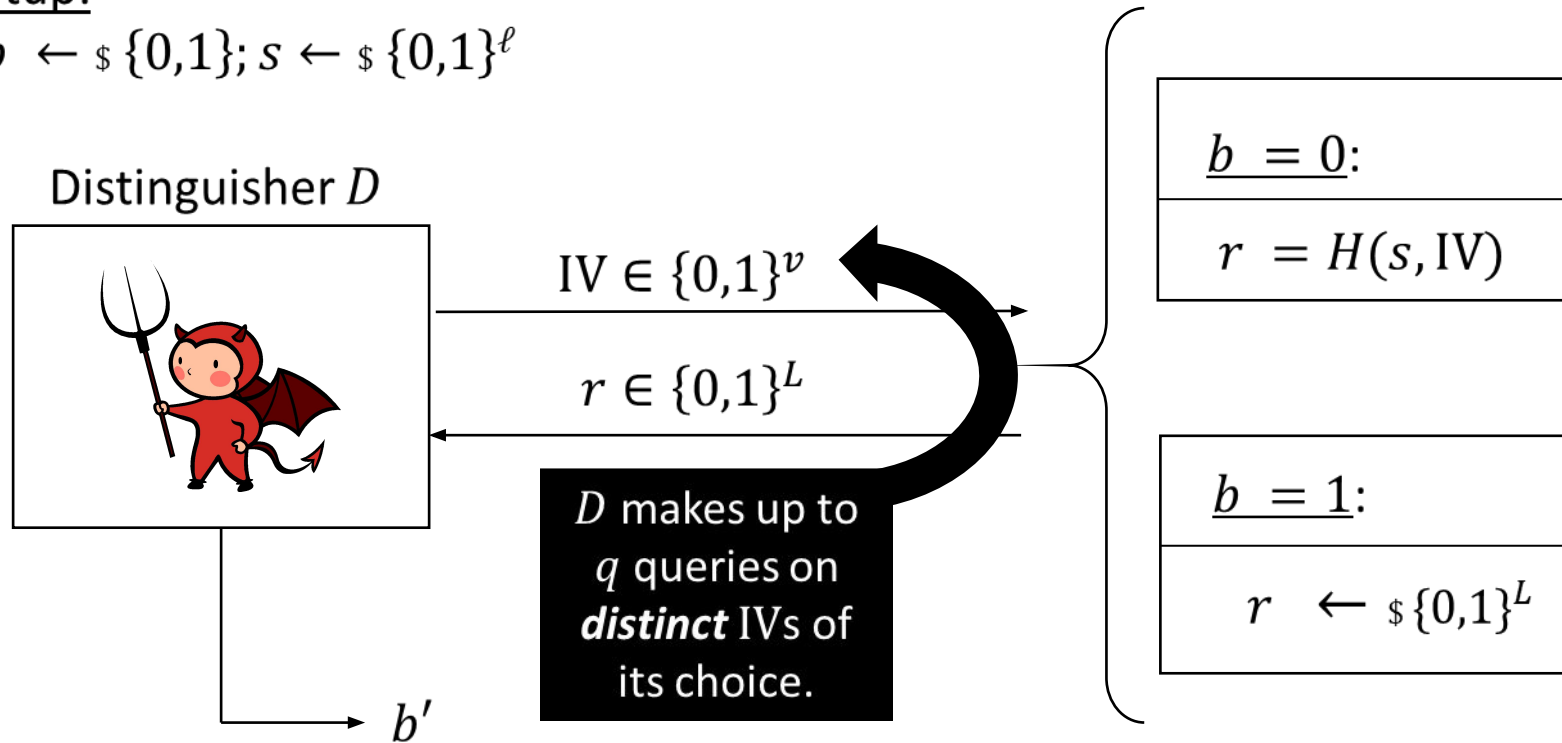
$IV$  is typically set to be a counter in applications (need not be secret for security of KSG)

# Security of KSG

$$\text{KSG } H: \{0,1\}^\ell \times \{0,1\}^v \rightarrow \{0,1\}^L$$

Setup:

$$b \leftarrow \$_\{0,1\}; s \leftarrow \$_\{0,1\}^\ell$$



$$\text{Adv}_H^{\text{KSG}}(D) := \left| \Pr[b' = b] - \frac{1}{2} \right|.$$

# Security of KSG

## Definition (concrete)

A KSG  $H$  is said to be  $(q, t, \epsilon)$ -secure if, for all distinguishers  $D$  **running in time at most  $t$  and making at most  $q$  queries on distinct IVs**

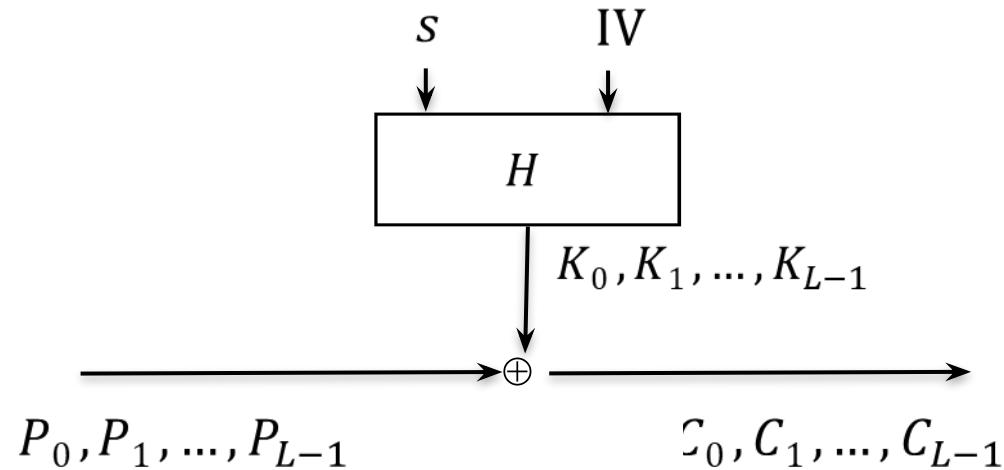
$$\text{Adv}_H^{\text{KSG}}(D) = \left| \Pr[b' = b] - \frac{1}{2} \right| \leq \epsilon$$

- Bit  $b$  and seed  $s$  are chosen once, queries made by the adversary are *adaptive*.
- Adversaries allowed to repeat IVs can trivially win the distinguishing game (why?)

# KSG from PRGs

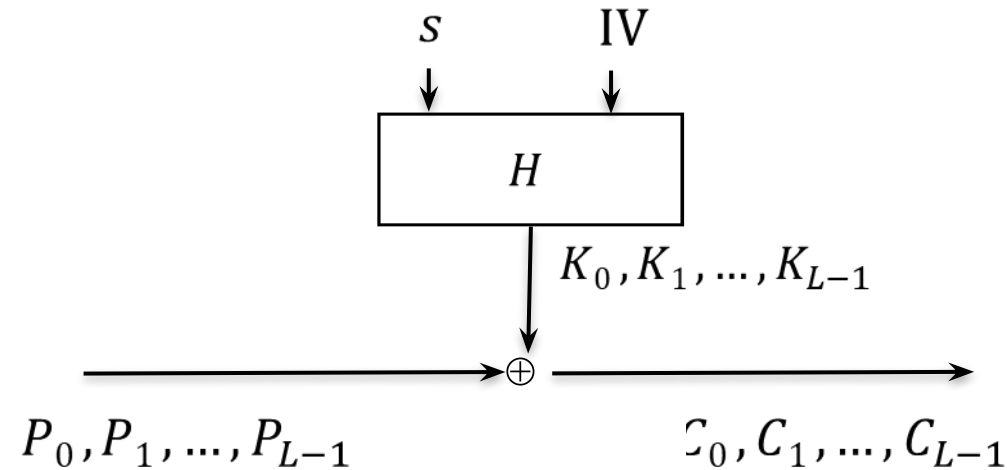
- A KSG can be built from a PRG via careful design choices that combine the key and the seed of the KSG into the seed of the PRG.
- Bad design choices can lead to catastrophic security vulnerabilities:
  - Example: Wired Equivalent Privacy or WEP – an algorithm for 802.11 wireless networks, introduced as part of the IEEE 802.11 standard ratified in 1997).
- In practice, use dedicated designs that mix key and IV together before producing the keystream.

# Using a KSG to realize efficient one-time pad



- Pros:
  - Only transmit a short seed (bit-length  $\ell$ ).
  - $IV$  can be sent publicly over the insecure channel along with  $C = (C_0, \dots, C_{L-1})$
- **Again**, security is not perfect/unconditional, but only computational.

# Using a KSG to realize efficient one-time pad



Argument for security – similar to that for the PRG-based one-time pad



# KSGs/PRGs in practice

# Stream cipher

A stream cipher attempts to efficiently replicate the properties of the one-time pad:

- **One-time pad:** Key  $K = K_0, K_1, K_2, \dots$  : a sequence of **random** bits
- **Stream cipher:** Keystream  $K = K_0, K_1, K_2, \dots$  : a sequence of **pseudorandom** bits

## Security (pseudorandom bits):

- Indistinguishable from random bits to a **computationally bounded adversary**.
- Based on practical variants of a PRG

# Examples of stream ciphers: RC4

## RC4 State

Byte permutation  $\mathcal{S}$  and indices  $i$  and  $j$

## RC4 Key scheduling

```

begin
  for  $i = 0$  to 255 do
    |  $\mathcal{S}[i] \leftarrow i$ 
  end
   $j \leftarrow 0$ 
  for  $i = 0$  to 255 do
    |  $j \leftarrow j + \mathcal{S}[i] + K[i \bmod \text{keylen}] \bmod 256$ 
    | swap( $\mathcal{S}[i], \mathcal{S}[j]$ )
  end
   $i, j \leftarrow 0$ 
end

```

## RC4 Keystream generation

```

begin
  |  $i \leftarrow i + 1 \bmod 256$ 
  |  $j \leftarrow j + \mathcal{S}[i] \bmod 256$ 
  | swap( $\mathcal{S}[i], \mathcal{S}[j]$ )
  |  $Z \leftarrow \mathcal{S}[ \mathcal{S}[i] + \mathcal{S}[j] \bmod 256 ]$ 
  | return  $Z$ 
end

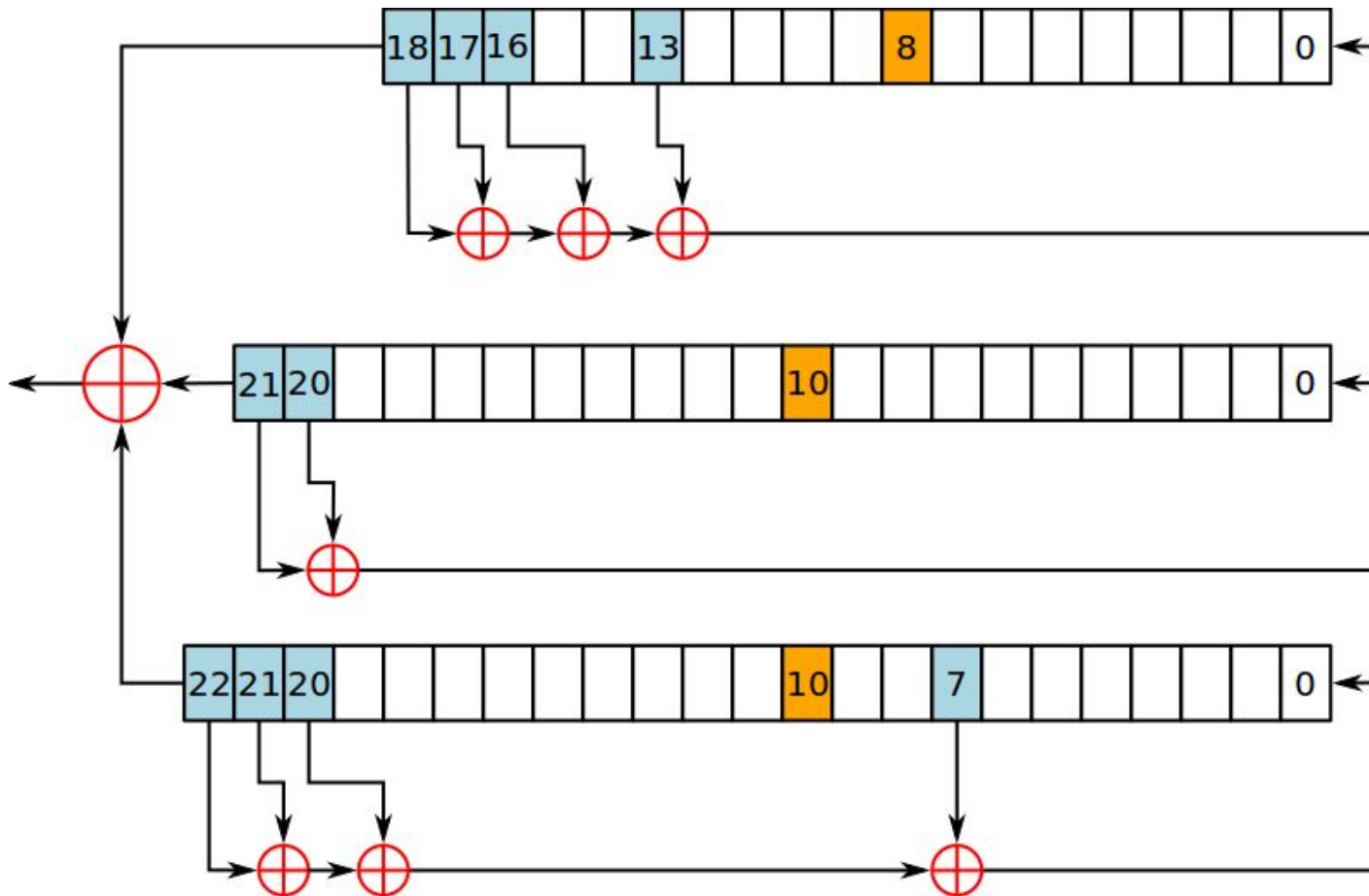
```

# Examples of stream ciphers: RC4

- **Designed by Ron Rivest in late 1980s, became public in 1994.**
  - A byte-oriented algorithm with a variable-length key.
  - Elegant design, fast in software, very compact description, easy to implement in a few lines of 'C'.
  - Heuristic realization of a PRG rather than a KSG – input is a key  $K$ , and there is no IV.
- **Became very widely adopted in secure communications protocols:**
  - TLS, WEP, WPA/TKIP, Kerberos.

**RC4 has serious security vulnerabilities and is now deprecated**

# Examples of stream ciphers: A5/1



- Linear Feedback Shift Register (LFSR)-based design with stuttered clocking.
- Usage: 1980s till present day.
- Fast, low gate-count in hardware
  - Throughput: 114 bits/4.615ms
- Significant cryptanalysis.
  - Now considered insecure
  - Can recover key in a few seconds given a few hundred known plaintext bits.

# Modern examples of stream ciphers

- Stream ciphers standardized by NIST (US):
  - AES in counter mode (in a few slides).
- Stream ciphers with IV identified by eSTREAM (EU project, 2008):
  - Profile 1 (“high throughput software applications”)
    - HC-128, Rabbit, Salsa20/12, SOSEMANUK
  - Profile 2 (“hardware applications with limited silicon area, power”)
    - Grain , MICKEY, Trivium
- Later development: ChaCha, a variant of Salsa, adopted by IETF for use in TLS.
  - Also, the default cipher in OpenSSH since release 6.8.
  - Also, used in Signal, Noise protocol framework, ...

# Security issues with stream ciphers in practice

- Keystream reuse
- Inherent weaknesses in generated keystream
- Complete lack of integrity checks

# Security issues with stream ciphers in practice

- Keystream reuse
- Inherent weaknesses in generated keystream
- Complete lack of integrity checks



# Keystream reuse

- Suppose plaintexts  $P_1, P_2$  are encrypted with the same keystream  $K$ . So:

$$C_1 = P_1 \oplus K, \quad C_2 = P_2 \oplus K.$$

- Then, given  $C_1$  and  $C_2$ , the adversary obtains:  $C_1 \oplus C_2 = P_1 \oplus P_2$
- From  $P_1 \oplus P_2$ , it *may* be possible to learn the individual plaintexts  $P_1$  and  $P_2$ .
  - Depends heavily on the plaintext distribution/statistics
  - Possible for natural language, application protocols such as HTTP, etc.
  - See Mason *et al.*, “A Natural Language Approach to Automated Cryptanalysis of Two-time Pads” (<https://www.cs.jhu.edu/~jason/papers/mason+al.ccs06.pdf>).

# Keystream reuse in practice: Example-1

- **Lorenz cipher:** A stream cipher operating on 5-bit teletype characters (used by German high command in WWII for wireless communications).
- **August 1941:** Operator error led to a repeated keystream
  - Revealed by repeated IV “HQIBPEXEZMUG”.
- **British recovered  $P_1 \oplus P_2$** , then  $P_1$  and  $P_2$ , then **the keystream** (John Tiltman), and eventually **reconstructed the entire Lorenz cipher** (William Tutte and others).
  - Developed some of the first electronic computers to industrialise the breaking of Lorenz (Tommy Flowers and the Colossus).
- ... the British never saw an actual Lorenz machine.



# Keystream reuse in practice: Example-2

- WEP (as used in WLANs) uses the RC4 stream cipher with a 40-bit seed  $s$  and a 24-bit initialization vector  $IV$ .
  - $IV$  and seed are concatenated to make the actual RC4 seed:  $s' = IV || s$ .
  - The seed is typically set by the user once and left fixed forever.
  - The  $IV$  is usually a counter (incremented for each frame sent on the network).
- Every  $2^{24}$  frames, the seed and  $IV$  used as input to RC4 will repeat.
  - This results in repeated keystreams.
  - WEP has worse problems: combination of seed and  $IV$  by concatenation leads to correlations between seed bytes and output bytes.

# Security issues with stream ciphers in practice

- Keystream reuse
- Inherent weaknesses in generated keystream
- Complete lack of integrity checks

# Keystream biases: Example for RC4

- For many stream ciphers, keystreams can be efficiently distinguished from random in practice using simple statistical tests.
- **Example (RC4):**
  - **Mantin-Shamir (2001):**  $\text{Prob}[\text{second byte in RC4-generated keystream} = 0x00] = 1/128$  (ideally  $1/256$ ).
  - **Fluhrer-McGrew (2000)** and **Mantin (2005):** *multibyte* biases in RC4-generated keystream.
  - **AlFardan-Bernstein-Paterson-Poettering-Schuldt (2013):** for 128-bit seeds, all of the first 256 keystream bytes of RC4 are biased!
- These biases can be exploited to produce near-practical attacks on RC4 in various applications, including TLS and WPA/TKIP (the successor to WEP).
  - See for example <https://www.usenix.org/conference/usenixsecurity13/technical-sessions/paper/alFardan>

# Security issues with stream ciphers in practice

- Keystream reuse
- Inherent weaknesses in generated keystream
- Complete lack of integrity checks

# Stream ciphers do not provide integrity

- Stream ciphers provide no **integrity (authorization checks)** against active attackers.
- Flipping a bit ( $0 \rightarrow 1$  or  $1 \rightarrow 0$ ) in the ciphertext stream has the effect of producing a bit-flip in the plaintext stream in the same position:

$$C_i = P_i \oplus K_i \Rightarrow C_i \oplus 1 = (P_i \oplus 1) \oplus K_i$$

- Hence decryption algorithm will output  $P_i \oplus 1$  instead of  $P_i$ .
  - Undetectable plaintext modifications by active adversary.
  - Highlights the general fact that encryption does **not** provide integrity.
  - Can lead to practical attacks on real systems (more later).